SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP	OMB Number:	3235-0287
	Estimated average bur	den
	hours per response:	0.5

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Instruction 1(b).			Filed pursuant to Section 16(a) of the Securities Exchange Act of 193		dis per response.			
			or Section 30(h) of the Investment Company Act of 1940					
1. Name and Address of Reporting Person* Allison Jonathan			2. Issuer Name and Ticker or Trading Symbol <u>Root, Inc.</u> [ROOT]		all applicable)	orting Person(s) to 1	Owner	
(Last) C/O ROOT, IN	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 10/16/2023	X	Officer (give ti below) Chief Adm	itle Other below)		
80 E. RICH STREET, SUITE 500			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	dividual or Joint/Group Filing (Check Applicable)			
(Street)				X	Form filed by	One Reporting Per	son	
(Street) COLUMBUS	OH	43215			Form filed by Person	More than One Re	oorting	
(City)	(State)	(Zip)	Rule 10b5-1(c) Transaction Indication	·				
			Check this box to indicate that a transaction was made pursuan satisfy the affirmative defense conditions of Rule 10b5-1(c). See			written plan that is inte	ended to	
		Table I - Non-I	Derivative Securities Acquired, Disposed of, or Ben	eficially	Owned			

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Transaction Dispose Code (Instr. 5)		Acquirec (D) (Instr	l (A) or 7. 3, 4 and	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130. 4)
Class A Common Stock	10/16/2023		F		14 ⁽¹⁾	D	\$10.11	93,105	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of E		6. Date Exerc Expiration Da (Month/Day/M	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. These shares of common stock were withheld by the Issuer to satisfy tax withholding obligations associated with the vesting of restricted stock units.

Remarks:

/s/ Jodi Baker, Attorney-in-10/18/2023

** Signature of Reporting Person Date

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.