FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL								
OMB Number: 3235-0287								
Estimated average burden								
hours per response:	0.5							

	Check this box if no longer subjec
١	to Section 16. Form 4 or Form 5
ı	obligations may continue. See
	Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*						2. Issuer Name <b>and</b> Ticker or Trading Symbol <b>Root</b> , <b>Inc</b> . [ <b>ROOT</b> ]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Rosenthal Daniel H						Koot, IIIC. [ ROOT ]								X Direc		tor 10% (		10% O	wner
(Last) (First) (Middle)						Date of Earliest Transaction (Month/Day/Year)								X	Office below	er (give title v)		Other ( below)	specify
C/O ROOT, INC.						11/01/2022								Ch. Rev. and Operating Officer					
80 E. RICH STREET, SUITE 500																			
(Street)						4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line)						
COLUM	BUS C	)H 4	3215											X	Form	filed by On	e Repo	orting Pers	on
,															Form filed by More than One Reporting Person				
(City)	(5	State) (Z	Zip)																
		Table	I - Noi	n-Deriva	tive S	Secu	rities	Acq	uired,	Dis	posed of	, or E	Benef	iciall	y Own	ed			
1. Title of Security (Instr. 3)  2. Transact Date (Month/Day)						Execution Date,			3. Transaction Code (Instr. 8)  4. Securities Act Disposed Of (D) 55					4 and Securi Benefi		ies cially Following	Form	: Direct Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	v	Amount	(A) or (D)		rice	Transa	ction(s) 3 and 4)			(				
Class A Common Stock 11/01/2						2022		F		481(1)	) <b>D</b> \$		8.07	95,170 <sup>(2)</sup>			D		
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	tive Conversion Date Execution Date, or Exercise (Month/Day/Year) if any			Transaction Code (Instr. 8) S A (// L) Code (Instr. 8) S A A (// L) Code (Instr. 8)		vative irities ired r osed )	6. Date Exerc Expiration Da (Month/Day/Y		te	7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)  Amount or Numb of Share		De Se (In	Price of rivative curity str. 5)			10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		

## **Explanation of Responses:**

- 1. These shares of common stock were withheld by the Issuer to satisfy tax withholding obligations associated with the vesting of restricted stock units.
- 2. The issuer effected a 1-for-18 reverse stock split of its Class A Common Stock and Class B Common Stock on August 12, 2022. The number of securities reported on this Form 4 has been adjusted to

## Remarks:

/s/ Jodi Baker, Attorney-in-

11/03/2022

**fact** 

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.