SEC Form 4
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# FORM 4

Check this box if no longer subject

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-028										
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Instruction 1(b).		Filed	l pursuant to Section 16(a) of the Securities Exchange Act of 1934	hours per response: 0.5				
			or Section 30(h) of the investment Company Act of 1940					
1. Name and Addre <u>Ulman Doug</u>	ess of Reporting Per	rson*	2. Issuer Name <b>and</b> Ticker or Trading Symbol Root, Inc. [ ROOT ]		ionship of F all applicab Director	Reporting Person(s) to Issuer ble) 10% Owner		
				^	Officer (giv	vo titlo		
(Last) C/O ROOT, IN(	(First) C.	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 06/08/2021		below)	e uue	Other (specify below)	
80 E RICH STF	REET, SUITE 50	0						
			4. If Amendment, Date of Original Filed (Month/Day/Year)	Line)	dual or Join	t/Group Filing	(Check Applicable	
(Street)				X	Form filed	by One Repo	rting Person	
COLUMBUS	OH	43215			Form filed Person	by More than	One Reporting	
(City)	(State)	(Zip)						

# Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)						Securities Beneficially	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Class A Common Stock	06/08/2021		A		15,290(1)	Α	\$0.00	15,290	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		and 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

## Explanation of Responses:

1. Represents the grant of restricted stock units that vest on the date of the next annual meeting of the Issuer's stockholders, subject to the reporting person's continuous service on the applicable vesting date, and in certain other circumstances.

#### **Remarks:**

## /s/ Jon Allison, Attorney-in-

fact

06/10/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

# to Section 16. Form 4 or Form 5 obligations may continue. See