SEC Form 4

FORM 4

Check this box if no longer subject

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL										
MB Number:	3235-0287									

Beneficial

Ownership

0

	Form 4 or Form 9 y continue. See	5	Filed pursuant to Section 16(a) of the Securities Exchange Act of 193	24	11	stimated ave ours per resp	8	0.5
			or Section 30(h) of the Investment Company Act of 1940					
1. Name and Addr <u>Timm Alexa</u>	•	ng Person [*]	2. Issuer Name and Ticker or Trading Symbol <u>Root, Inc.</u> [ROOT]		tionship of Rep all applicable) Director		on(s) to Issuer 10% Owner	
(Last) C/O ROOT, IN 80 E. RICH ST		(Middle) TE 500	3. Date of Earliest Transaction (Month/Day/Year) 08/01/2022	X	Officer (give below) Chief E	title xecutive (Other (speci below) Officer	ify
(Street) COLUMBUS	OH (Stata)	43215	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	ridual or Joint/G Form filed by Form filed by Person	/ One Repor	、 II	
(City)	(State)	(Zip)			Owned			
1		Table I - Non-De	erivative Securities Acquired Disposed of or Ben	eticially	Owned			

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.					5. Amount of Securities Beneficially Owned Following Reported	(I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(11150.4)	
Class A Common Stock	08/01/2022		F		9,654(1)	D	\$1.05	1,545,316	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of Derivative 3A. Deemed Execution Date, 5. Number of 6. Date Exercisable and Expiration Date 7. Title and Amount of 8. Price of Derivative 9. Number of derivative 10. Ownership 11. Nature of Indirect 3. Transaction Conversion Date Transaction (Month/Dav/Year) if any (Month/Day/Year) Derivative or Exercise Code (Instr. (Month/Day/Year) Securities Security Securities Form: Price of 8) Securities Underlying (Instr. 5) Beneficially Direct (D) or Indirect (Instr. 4) Derivative Acquired Derivative Owned

Security		(A) or Disposed of (D) (Instr. 3, 4 and 5)				Security (Instr. 3 and 4)			Following Reported Transaction(s) (Instr. 4)	(l) (Instr. 4)				
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. These shares of common stock were withheld by the Issuer to satisfy tax withholding obligations associated with the vesting of restricted stock units.

Remarks:

Security

(Instr. 3)

/s/ Jodi Baker, Attorney-in-<u>fact</u>

08/03/2022

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.