## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

## FORM 8-K

#### CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): January 1, 2022

# ROOT, INC.

(Exact name of Registrant as Specified in Its Charter)

Delaware (State or Other Jurisdiction

of Incorporation)

001-39658

(Commission File Number)

80 E. Rich Street, Suite 500 Columbus, Ohio (Address of Principal Executive Offices) 43215

84-2717903

(IRS Employer

Identification No.)

(Zip Code)

(866) 980-9431

(Registrant's Telephone Number, Including Area Code)

Not Applicable

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instructions A.2. below):

□ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

□ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

□ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

□ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c)) Securities registered pursuant to Section 12(b) of the Act:

		Name of each exchange on
Title of each class	Trading Symbol(s)	which registered
Class A Common Stock. \$0.0001 par value	ROOT	The Nasdag Stock Market LLC

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company  $\Box$ 

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

### Item 5.02 Departure of Directors or Principal Officers; Election of Directors; Appointment of Principal Officers.

As reported by Root, Inc. (the "Company") in its Current Report on Form 8-K dated July 2, 2021, the Company entered into an Advisor Agreement with the Company's former Chief Technology Officer, Daniel Manges, effective July 3, 2021 (the "Advisor Agreement"). The Company terminated the Advisor Agreement pursuant to its terms on January 1, 2022.

### SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

### ROOT, INC.

Dated: January 6, 2022

By: /s/ Daniel Rosenthal

Daniel Rosenthal Chief Revenue and Operating Officer and Chief Financial Officer