SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287							
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP
Instruction 1(b).	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*					_	2. Issuer Name and Ticker or Trading Symbol Root, Inc. [ROOT]										5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>Ulman Doug</u>															2	Director	r		10% O	wner	
(Last) C/O ROO		First)	(Middle)		_	3. Date of Earliest Transaction (Month/Day/Year) 06/14/2021									Officer below)	(give title		Other (below)	specify		
80 E RICH STREET, SUITE 500					_ 4.	4. If Amendment, Date of Original Filed (Month/Day/Year)									6. In Line	6. Individual or Joint/Group Filing (Check Applicable					
(Street)															`	Form filed by One Reporting Person					
COLUM	BUS C)H	43215		_										Form fil Person	led by Mor	e than	One Repo	rting		
(City)	(5	State)	(Zip)																		
		Та	ble I - Nor	n-Deriv	vativ	ve Se	ecur	ities Ac	cqui	ired,	Dis	oosed o	of, oi	r Ben	eficially	/ Owned					
1. Title of Security (Instr. 3) 2. Transa Date (Month/D				nsaction th/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year		e, †	Code (Instr.						5) Securitie Beneficia Owned F	5. Amount of Securities Beneficially Owned Following		vnership 1: Direct r Indirect 1str. 4)	7. Nature of Indirect Beneficial Ownership		
									-	Code	v	Amount		(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)				(Instr. 4)	
Class A Common Stock 06/1					14/2021					С		172,9	11	Α	(1)	188	188,201		D		
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Dat if any (Month/Day/Ye	ate, T	1. Fransa Code (3)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Exp	Date Expiration onth/Da	Date		7. Title and A of Securities Underlying Derivative Se (Instr. 3 and		s Security	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficially Owned Following Reported Transaction	e s ally g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4	Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Dat Exe	te ercisabl		xpiration Date	Title		Amount or Number of Shares		(Instr. 4)	.5.1(3)			
Class B		1		1		1	1	1	1				Clas			1	1		1		

(1)

(1)

Explanation of Responses:

(1)

1. Each share of Class B Common Stock is convertible into one share of Class A Common Stock at the option of the holder and has no expiration date.

С

Remarks:

Common Stock

/s/ Megan Binkley, Attorney-in-06/16/2021 fact

172,911

Common Stock

\$0.00

0

D

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

06/14/2021

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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